

05-03-01

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PTO/SB/05 (11-00)

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Approved for use through 10/31/2002. OMB 0651-0032

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J1055 U.S. PTO  
05/02/01**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

JEFFREY O. WILLIS

Title

METHOD AND MEANS FOR MOUNTING A ...

Express Mail Label No.

EL522534495US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 12]
5. Oath or Declaration [Total Pages 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No. 09,563,612

Prior application information:

Examiner J. WaksGroup Art Unit: 2834

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label22885

(Insert Customer No. or Attach bar code label here)

or ☐

Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

DENNIS L. THOMTE

Registration No. (Attorney/Agent)

22,497

Signature

Dennis L. Thomte

Date

5/2/2001

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J1046 U.S. PTO  
09/847615

05/02/01

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710.00

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	JEFFREY O. WILLIS
Examiner Name	
Group Art Unit	
Attorney Docket No.	

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 26-0084
- Deposit Account Name Zarley, McKee, Thomte, Voorhees & Sease
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355	Utility filing fee	710.00
106	320	206 160	Design filing fee	
107	490	207 245	Plant filing fee	
108	710	208 355	Reissue filing fee	
114	150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 710.00

**2. EXTRA CLAIM FEES**

Total Claims 3 - 20\*\* = 0 X 0 = 0

Independent Claims 2 - 3\*\* = 0 X 0 = 0

Multiple Dependent    =   

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9	Claims in excess of 20
102	80	202 40	Independent claims in excess of 3
104	270	204 135	Multiple dependent claim, if not paid
109	80	209 40	** Reissue independent claims over original patent
110	18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

\*\*or number previously paid, if greater; For Reissues, see above


**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

**SUBMITTED BY**

Name (Print/Type)	DENNIS L. THOMTE	Registration No. (Attorney/Agent)	22,497	Telephone	(402) 392-2280
Signature		Date	5/2/2001		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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CERTIFICATE OF MAILING

I hereby declare that the original patent application of JEFFREY O. WILLIS and ANTHONY J. HANSEN, entitled METHOD AND MEANS FOR MOUNTING A WIND TURBINE ON A TOWER, enclosed herewith, was mailed by "Express Mail Post Office to Addressee", mailing label EL522534495US, to Box Patent Application, Assistant Commissioner of Patents, Washington, D.C. 20231, on this 2nd day of May, 2001.

ZARLEY, McKEE, THOMTE,  
VOORHEES & SEASE

Dennis L. Thomte  
DENNIS L. THOMTE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


1 JEFFREY O. WILLIS and ANTHONY J. HANSEN the above-named petitioners,  
declare that they are citizens of the United States with post office and resident  
addresses of 141 North 21st Street, Blair, Nebraska 68008, and 21411 Ridgewood  
Road, Elkhorn, Nebraska 68025, respectively; that they verily believe themselves to be  
the original, first, and co-inventors of the subject matter which is claimed and for which a  
patent is sought on the invention entitled METHOD AND MEANS FOR MOUNTING A  
WIND TURBINE ON A TOWER described and claimed in the annexed specification;  
that they do not know and do not believe that the same was ever known or used in the  
United States of America before their invention thereof or patented or described in any  
printed publication in any country before their invention thereof, or more than one year  
prior to this application; that the invention has not been patented or made the subject of  
an inventor's certificate issued before the date of this application in any country foreign  
to the United States of America or an application filed by them or their legal  
representatives or assigns more than twelve months prior to this application; that they  
have reviewed and understand the contents of the above-identified specification  
including the claims, as amended by any amendment specifically referred to in the oath  
or declaration; that they acknowledge the duty to disclose information which is material  
to the examination of this application in accordance with Title 37, Code of Federal  
Regulations 1.56(a); and that no application for patent or inventor's certificate on this  
invention has been filed by them, or their legal representatives or assigns in any country  
foreign to the United States of America except as identified below.  
None.

15 And they hereby appoint ZARLEY, McKEE, THOMTE, VOORHEES & SEASE,  
comprising Donald H. Zarley, Registration No. 18,543; Bruce W. McKee, Registration  
No. 19,651; Dennis L. Thomte, Registration No. 22,497; Michael G. Voorhees,  
Registration No. 25,715; Edmund J. Sease, Registration No. 24,741; Mark D. Hansing,  
Registration No. 30,643; Kirk M. Hartung, Registration No. 31,021; Daniel J. Cosgrove,  
Registration No. 36,770; Michael R. Crabb, Registration No. 37,298; Heidi Sease Nebel,  
Registration No. 37,719; Wendy K. Marsh, Registration No. 39,703; Jeffrey D. Harty,  
Registration No. 40,639; James A. Napier, Registration No. 42,025; Timothy J. Zarley,  
Registration No. 45,243; Mark J. Ziegelbein, Registration No. 43,407; and Patricia Ades,  
20 Registration No. 44,496, 801 Grand Avenue, Suite 3200, Des Moines, Iowa 50309,  
telephone: 402-392-2280, as their attorneys to prosecute this application and to  
transact all business in the Patent and Trademark Office connected therewith.


The undersigned petitioners further declare that all statements made herein of  
their own knowledge are true and that all statements made on information and belief are  
believed to be true; and further that these statements were made with the knowledge  
that willful false statements and the like so made are punishable by fine or

1 imprisonment, or both, under Section 1001 of Title 18 of the United States Code and  
that such willful false statements may jeopardize the validity of the application of any  
patent issuing thereon.

5 Date 4-26-00

  
JEFFREY O. WILLIS  
141 North 21st Street  
Blair, NE 68008

10 Date 4/26/00

  
ANTHONY J. HANSEN  
21411 Ridgewood Road  
Elkhorn, NE 68022

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